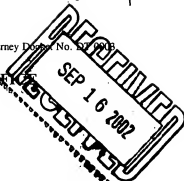




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Attorney Docket No. 57-0038



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of: **Lee et al.**

Serial No. : **09/741,956- 958**

Art Unit: 2161

Filed: **Dec 20, 2000**

Examiner: Unknown

For: **PRICE OPTIMIZATION SYSTEM**

Box: Non-Fee Amendment
Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner of Patents, Washington, D.C. 20231 on June 19, 2002.

June 19, 2002

Signed: *Kaplan*

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT FILED

UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)

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GROUP 3600

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

1. U.S. Patent Number 6,308,162 to Ouimet et al. entitled "METHOD FOR CONTROLLED OPTIMIZATION OF ENTERPRISE PLANNING MODELS"
2. International Application Number PCT/US98/10521 to Ouimet et al. entitled "A METHOD FOR INCORPORATING PSYCHOLOGICAL EFFECTS INTO DEMAND MODELS"
3. Alan Lewis Montgomery, "The Impact of Micro-Marketing on Pricing"

Strategies", 1994 The University of Chicago, Vol. 55/12-A of Dissertation Abstracts International, pg 3922 (Abstract Only)

4. John Busch, "Cost Modeling as a Technical Management Tool", Research-Technology Management, Nov/Dec 1994, Vol. 37, No. 6, pgs 50-56
5. "Pacifcorp IRP: Renewables Costs Must Drop 65% to be Competitive with Gas"

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This paper is submitted within three months of the filing date of the referenced patent application in accordance with 37 C.F.R. § 1.97(b); therefore, no fee is believed to be required.

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198.

Respectfully submitted,




Kang S. Lim
Attorney for Applicant(s)
Reg. No. 37,491

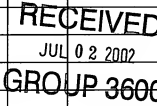
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. DT0003		SERIAL NO. 09/741,956	
LIST OF DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56				APPLICANT Lee et al.			
				FILING DATE 6/19/02		GROUP 2161	



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.R./	AA	6,308,162	10/23/01	Ouimet et al.			



FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSLATION
							YES NO
/A.R./	BA	WO 98/53415	11/26/98	Ouimet et al.			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
/A.R./	CA	Montgomery: "The Impact of Micro-Marketing on Pricing Strategies", 1994 The University of Chicago Vol. 55/12-A of Dissertation of Abstracts International, pg. 3922 (Abstract Only)
/A.R./	CB	Busch: "Cost Modeling as a Technical Management Tool", Research-Technology Management, Nov/Dec 1994, vol. 37, no. 6, pgs 50-56
/A.R./	CC	"Pacifcorp IRP: Renewables Costs Must Drop 65% to be Competitive with Gas"

EXAMINER /Akiba Robinson Boyce/	DATE CONSIDERED 07/08/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.